

TELECOPIER COVER SHEET

Decemb r 2, 2003

To: Assistant Commissioner for Patents	From: Estella Pin iro Patent Administrator 818/493-2251
Attention: Examiner F. Oropeza Art Unit: 3762 TECHNOLOGY CENTER 3700	ST. JUDE MEDICAL CRMD 15900 Valley View Court Sylmar, California 91392-9221
Telecopier: 703/306-4520	Telecopier: 818/362-4795
RE: Filing of Amendment and Request for Reconsideration Applic. No. 09/847,703 Filed: 05/01/2001 Docket No. A01P1028	Number of pages being sent: <u>14</u> (including cover page)

PLEASE DELIVER TO EXAMINER FRANCES P. OROPEZA, Art Unit 3762.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mark W. Kroll
Serial No.: 09/847,703 Examiner: F. Oropeza
Filed: 05/01/01 Art Unit: 3762
Docket No.: A01P1028
For: METHOD AND APPARATUS FOR BIVENTRICULAR STIMULATION
AND CAPTURE MONITORING

TRANSMITTAL OF REQUEST FOR RECONSIDERATION AND CERTIFICATE OF MAILING

MAIL STOP AMENDMENTS
ASSISTANT COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450


Dear Sir:

Submitted herewith for filing are the following documents:

X Amendment and Request for Reconsideration
X Transmittal of Amendment... and Cert. of Mlg.
X Fee Transmittal

Respectfully submitted,

Date: 12/2/03


Derrick Reed
Reg. No. 40,138

Correspondence Address:
PACESETTER, INC.
15900 Valley View Court
Sylmar, CA 91392-9221
818/493-2200
818/362-4795 (fax)

I hereby certify that this correspondence is being facsimile
transmitted to the United States Patent and Trademark Office on:

December 2, 2003


Estella Pineiro
Date 12/2/03

PTO/SB/17 (10-03)

Approved for use through 07/31/2005, OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0

Complete if Known

Application Number **09/847,703**
 Filing Date **05/01/2001**
 First Named Inventor **Mark W. Kroll**
 Examiner Name **F. Oropeza**
 Art Unit **3762**
 Attorney Docket No. **A01P1028**

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:
 Deposit
Account
Number
Deposit
Account
Name

16-0068

PACESETTER, INC.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

PREV. PAID	FOR	Extra Claims	Fee from below	Fee Paid
Total Claims	30	30	0	0
Independent Claims	3	3	0	0
Multiple Dependent				

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue Independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type) **Derrick Reed** Registration No. **40,138** Telephone **818/493-2200**
 Signature *Derrick Reed* (Attorney/Agent) Date **12/2/03**

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**8/B
B. Webb
12/8/03

Applicants:	Mark W. Kroll	Examiner:	F. Oropeza
Serial No.:	09/847,703	Art Unit:	3762
Filed:	05/01/01		
Docket No.:	A01P1028		
For:	METHOD AND APPARATUS FOR BIVENTRICULAR STIMULATION AND CAPTURE MONITORING		

AMENDMENT AND REQUEST FOR RECONSIDERATION

I hereby certify that this correspondence is being facsimile transmitted to
the United States Patent and Trademark Office on:

Mail Stop AMENDMENTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

December 2, 2003

Estelita Figueiro

Date

Dear Sir:

In response to the Office Action, mailed September 8, 2003, please amend the
application as follows.

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